

SMALL PEPTIDES AND METHODS FOR DOWNREGULATION OF IgE

FIELD OF THE INVENTION

This invention relates to small peptides, particularly to N-formyl-methionyl peptides, having downregulating activity of IgE and to methods for treating indications resulting from IgE-mediated responses. More particularly, the peptides can be used to replace corticosteroids in any application in which corticosteroids are used.

BACKGROUND OF THE INVENTION

Immunoglobulin E (IgE) is one of five classes of antibody occurring in man and has been known for over three decades that it is the immunoglobulin responsible for allergic reactions. IgE is produced and secreted by B cells upon allergen invasion. However, IgE constitutes only a small fraction of the total antibody in human serum (50-300ng/ml compared to 10mg/ml of IgG) and thus, is not present in sufficient amount to directly neutralize antigens. Instead, its action is amplified through target cellular receptors and elicits a wide range of cellular responses to antigens, culminating in inflammation, itching, coughing, lacrimation, bronchoconstriction, mucus secretion, vomiting and diarrhea, all symptoms commonly associated with allergic disorders.

20

Immediate hypersensitivity reactions are triggered through the high-affinity IgE receptors (Fc ϵ RI) found on mast cells and basophils. Allergen binding to the Fc ϵ RI-bound IgE causes cross-linking of receptor molecules on the cell membrane, which triggers degranulation of the cell and subsequent release of histamines and other mediators associated with the immediate phase of the allergic response. These products of mast cell degranulation cause activation of inflammatory cells and further induces a low-affinity IgE receptor, Fc ϵ RII, also known as CD23. Fc ϵ RII can be found

25

- on activated B cells, various inflammatory cells (macrophages, eosinophils, platelets, natural killer cells), T cells, follicular dendritic cells (FDC), Langerhans cells and epithelial cells of the bone marrow and thymus (Delespesse et al., *Adv. Immun.* **49**:149-190, 1991; Delespesse et al., *Immunol. Rev.* **125**:78-97, 1992). On the surface of B cells, Fc_γRII plays a role in IgE-dependent antigen presentation to T cells and also in the cross-linking of B cells. On FDCs, Fc_γRII is expressed in large amounts and is therefore implicated in the recruitment of B cells to the germinal centers of secondary follicles in the lymph nodes and spleen. When expressed on inflammatory cells, it is thought to be responsible for IgE-dependent cytotoxic activities, such as phagocytosis of immune complexes by monocytes. Soluble Fc_γRII (sFc_γRII) can also initiate humoral and cell-mediated immune responses by triggering the growth and differentiation of precursors of plasma cells, T cells and basophils.
- Differentiation of B cells into IgE-secreting plasma cells involves a complex signaling cascade of cytokines and surface molecules, thought to take place mainly in the germinal centers of secondary follicles in the lymph nodes and spleen. Surface molecules are essential in order to provide the physical interaction of B cells with T cells and mast cells that is required for triggering IgE production. These surface molecules are CD40 ligand (CD40-L) and Fc_γRII. When T helper type 2 cells (Th₂) are activated upon exposure to antigen-presenting cells (APCs), they transiently express CD40L. CD40L interacts with CD40 on B cells, resulting in B cell activation. The activated Th₂ cells secrete various cytokines, such as IL-4 and IL-13, which act on the activated B cells to switch to IgE production. IL-4 in addition upregulates Fc_γRII expression on B cells and inflammatory cells, providing a further source of contact stimulation and soluble growth factor.

Mast cells and basophils also secrete IL-4 and express CD40L and can thus induce IgE synthesis by B cells upon physical interaction with B cells in the presence of IL-4, in a similar manner as Th₂ cells. It is likely that IgE synthesis can also take place in the skin, lungs and gut, in view of the tissue distribution of the various types of cells involved in IgE production.

Upregulation of IgE synthesis and rescue of germinal center B cells from apoptosis is mediated by the cross-linking of B cell membrane-bound IgE and complement receptor 2 (CR2), also called CD21, by sFc ϵ RII. CR2 is a highly glycosylated membrane protein found on B cells, FDCs, and some T cells and basophils. sFc ϵ RII can participate in the positive feedback control of IgE synthesis by triggering CR2 on B cells to enhance IgE synthesis while also promoting the survival of IgE-committed B cells.

Activation of IgE production can lead to two different situations. Acute inflammation due to allergen exposure begins with an early phase reaction involving rapid activation of mast cells, airway macrophages, and bronchial epithelial cells which release proinflammatory mediators including histamines, eicosanoids, platelet-activating factor, oxygen free radicals, neuropeptides, and cytokines. These can induce constriction of the airway smooth muscle, mucous secretion, and vasodilation. Inflammation of the airways causes increased microvascular leakage, leading to plasma exudation into the airways. Thickening of airway walls and narrowing of the airway lumen result.

In the late-phase reaction, peripheral blood cells are recruited into the airways to establish a chronic-type of inflammation. Such cells include eosinophils, lymphocytes, and monocytes, and recruitment is dependent on cytokines such as IL-5 and granulocyte-macrophage colony-stimulating factor (GMC-SF). Chemokines such as RANTES and eotaxin also appear to

enhance recruitment of eosinophils. At the site of inflammation, these cells are activated and their survival is increased by reduced apoptosis, mediated by factors such as GMC-SF.

5 Treatments for asthma have traditionally been based on the severity and persistence of the disorder. For acute, intermittent symptoms, treatments have generally involved bronchodilators. Bronchodilators include β -adrenergic agonists, methylxanthines, and anticholinergic drugs. These agents can improve airway obstruction in asthma patients but they
10 do not appear to be effective in reducing airway inflammation or bronchial hyperreactivity. In more recent years, leukotriene inhibitors have become available for treatment of mild to moderate asthma. Leukotrienes are generated from arachidonic acid through the 5-lipoxygenase metabolic pathway and have long been known to possess powerful
15 bronchoconstrictive properties. These so-called slow-reacting substance of anaphylaxis ("SRS-A") also induce migration, adhesion and aggregation of various white blood cells to blood vessels and increase capillary permeability, culminating in interstitial edema, leukocyte chemotaxis, mucus production, mucociliary dysfunction, and bronchospasm in the
20 lungs. Leukotriene D₄ (LTD₄), in particular, appears to be primarily responsible for this activity in airways and acts through a specific receptor on airway smooth muscle cells. Leukotrienes, including cysteinyl leukotrienes, are released during IgE-mediated mast cell degranulation.

25 Leukotriene inhibitors consist of two types: one that blocks the synthesis of leukotrienes by inhibiting the activity of 5-lipoxygenase (5-LO), which is required for the synthesis of leukotriene, and another that competitively blocks the LTD₄ receptor on smooth muscle cells. Zileutin is the first of the 5-LO inhibitors that have become available. Zafirlukast is the
30 first LTD₄ receptor antagonist to be approved, while others such as monelukast and pranlukast are currently undergoing clinical trials. These

leukotriene inhibitors have so far been used for treatments of mild persistent asthma but have not yet been proved effective for more severe forms of asthma.

- 5 Antiinflammatory agents are currently employed for treating more severe and persistent forms of asthma. Agents categorized as antiinflammatory agents include theophylline, corticosteroids, cromolyn sodium, and nedocromil sodium. Corticosteroids, in particular, appear to be more effective in decreasing bronchial hyperreactivity and severe
- 10 exacerbations. They act by suppressing eosinophil recruitment by inhibiting cytokine and chemokine production, as well as by inducing apoptosis of eosinophils. They also act to abrogate airway edema and bronchorrhea and therefore, inhaled corticosteroids are the most common treatment for patients with chronic asthma. Inhaled corticosteroids include
- 15 beclomethasone, flunisolide, triamcinolone, fluticasone, and budesonide. For chronic asthma, β_2 -agonists are ineffective, except in that they can temporarily improve bronchial obstruction. Thus, optimal treatment may be to combine both inhaled corticosteroids and long-acting β_2 -agonists. However, potential side effects of corticosteroids include oropharyngeal
- 20 candidiasis, dysphonia, adrenal suppression, growth retardation in children, thinning of skin, osteoporosis, glaucoma, and cataracts. In addition, it is unclear at the present time, the relationship between "effective" versus "toxic" doses of these corticosteroids.

- 25 In addition to targeting the downstream events of the IgE signaling pathway, some new therapeutic strategies are being developed to directly intervene with IgE and its synthesis. The central position IgE plays in the complex network leading to allergic reactions suggests that therapy targeted to eliminate IgE or to block IgE binding to receptors would in effect, prevent
- 30 allergic responses altogether. Although still in its early stages, some success has been shown by the use of monoclonal antibodies directed

against IgE. Fahy et al. (*Am. J. Respir. Crit. Care Med.*, **155**:1828-1834, 1997) have reported that a humanized murine monoclonal antibody developed against IgE reduced free IgE and was successful in blocking both the early and late phase responses to allergen stimulation. Anti-IgE

- 5 antibodies that target a region of IgE necessary for binding to Fc ϵ RI not only blocks binding of IgE to its receptor, but also prevents mast cell degranulation and anaphylaxis induced by the cross-linking of IgE bound to Fc ϵ RI on basophils and mast cells. Two such anti-IgE antibodies are currently being tested (MacGlashan et al., *J. Immunol.* **158**:1438-1445, 1997; Corne et al., *J. Clin. Invest.* **99**:879-887, 1997). So far, they appear to
- 10 reduce IgE concentrations in serum and also lower the levels of Fc ϵ RI on basophils, suggesting that IgE-dependent responses may be altered by modulating the levels of circulating IgE.

- 15 The treatments to date typically have focused on downstream events, which result from IgE activation. It would therefore be desirable to develop treatments that modulate IgE levels in order to treat IgE-mediated responses. Chemotactic peptides such as N-formyl-methionyl-leucyl-phenylalanine and pepstatin have been reported to inhibit mast cell
- 20 degranulation (*Inflammation*, Vol. 5, No. 1, pp. 13-16, 1981). The peptides of the present invention downregulate IgE levels and therefore can be used to modulate a variety of IgE-mediated responses.

SUMMARY OF THE INVENTION

- 25 The present invention provides methods for treating a variety of indications resulting from IgE-mediated responses using pharmaceutical compositions containing in a suitable pharmacological carrier a N-formyl-methionyl-leucyl ("f-Met-Leu") peptide having IgE-downregulation activity. Particularly useful peptides are those having the formula f-Met-Leu-X where
- 30 X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-

Tyr. The peptides of the present invention can be used to replace corticosteroids in any application in which corticosteroids are used.

In accord with the present invention, a method for treating an IgE-mediated response in a mammal comprises administering to the mammal an IgE downregulating effective amount of a peptide having the formula f-Met-Leu-X where X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-Tyr.

The invention also provides a method for downregulating membrane-bound and soluble receptors for IgE. The method comprises administering to the patient a IgE receptor downregulating effective amount of a peptide having the formula f-Met-Leu-X where X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-Tyr.

The invention further provides a method for inhibiting IgE secretion by plasma cells. The method comprises administering to the patient an IgE secretion inhibiting effective amount of a peptide having the formula f-Met-Leu-X where X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-Tyr.

In accord with another embodiment, the invention provides a method for downregulating CD40L expression. The method comprises administering to a patient a CD40L downregulating effective amount of a peptide having the formula f-Met-Leu-X where X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-Tyr.

In certain preferred embodiments of the present invention, patients can benefit by administering the peptide of the present invention in combination with a second active ingredient. Particularly useful other active ingredients for such combination in accord with the present

invention are, for example, antileukotrienes, beta₂ agonists, corticosteroids, and the like.

BRIEF DESCRIPTION OF DRAWINGS

5 FIG. 1 is a log dose response curve illustrating the effects of various dosages of HK-X on OVA-specific serum IgE levels in acute asthmatic mice.

10 FIG. 2 shows lung sections from acute asthmatic mice administered with 50 µg of HK-X. Limited cellular infiltrates were present in (A) and (B) and limited mucus accumulation in (C).

15 FIG. 3 shows lung sections from acute asthmatic mice administered with 10 µg of HK-X. Very few cells were associated with the airway (A) and (B) and mucus was limited to the surface of airway epithelial cell layer (C).

 FIG. 4 shows lung sections from acute asthmatic mice administered with 1 µg of HK-X. Therapeutic effect diminished, with an increase in cellular infiltrates (A), and increase in mucus secretion into airways (B) and (C).

20 FIG. 5 shows lung sections from OVA-immunized mice challenged with either saline (A) or vehicle (0.05% DMSO) (B). No mucus secretion was detected in the airways (C).

25 FIG. 6 is a schematic illustration of the immunization and treatment regime used in establishing a chronic asthma mouse model.

 FIG. 7 is a histogram illustrating the granuloma number in lungs of chronic asthmatic mice.

FIG. 8 shows the histology of chronic asthmatic lung tissues from mice immunized weekly with OVA for 6 months and treated with either HK-X or saline. (A) shows lung histology of control mice, (B) shows histology of HK-X-treated mice, and (C) shows histology of OVA-challenged but untreated mice.

FIG. 9 shows light micrographs of chronic asthmatic mouse lung tissue accumulation of collagen fibrils. (A) shows a lung section of a control mouse administered with saline, (B) shows a lung section of a mouse treated with HK-X, and (C) shows a lung section of an OVA-immunized but untreated mouse.

FIG. 10 shows lung sections of mice chronically OVA-immunized and treated with saline.

FIG. 11 shows lung sections of mice chronically OVA-immunized and treated with vehicle (0.5% DMSO).

FIG. 12 is a histogram illustrating the histomorphometry in chronic asthma.

FIG. 13 is a histogram illustrating the frequency of mucus containing cells in the airways of chronic asthmatic mice after various treatments.

FIG. 14 is a histogram illustrating the effects of various treatments on eosinophil and neutrophil infiltrates in the lungs of chronic asthmatic mice.

FIG. 15 is a schematic illustration of the immunization and treatment protocol with HK-X and dexamethasone in an acute asthmatic mouse model.

FIG. 16 is a histogram comparing the effects of intranasal administration of dexamethasone and HK-X on OVA-specific IgE levels.

FIG. 17 is a schematic illustration of the immunization and treatment protocol with HK-X and a control peptide in an acute asthmatic mouse model.

10 DETAILED DESCRIPTION OF THE INVENTION

In accord with the present invention, certain small peptides having the formula f-Met-Leu-X where X is selected from the group consisting of Tyr, Tyr-Phe, Phe-Phe and Phe-Tyr have been found to have surprising activity for downregulating the levels of IgE. As a result, such peptides are
15 useful for treatment of a variety of indications resulting from IgE mediated responses. The peptides of the present invention can be used to replace corticosteroids in any application in which corticosteroids are used.

Preferred peptides, in accord with the present invention, reduce
20 blood IgE levels and block IgE activation of lymphocytes such as, for example, macrophages, monocytes, eosinophils, neutrophils, TNF, and the like.

Continued mast cell degranulation and its release of leukotrienes,
25 histamines, and other cytokines also decrease, or cease entirely in preferred embodiments, following treatment with peptides of the present invention. In accord with preferred embodiments of the present invention, the peptides also can reduce the infiltration of eosinophils, basophils and neutrophils into inflammatory tissues. Lymphocytes, eosinophils, and neutrophils do
30 not exhibit chemotaxis in response to preferred peptides of the present invention. As a consequence, the chemotactic adhesion, migration and

aggregation of lymphocytes, eosinophils and neutrophils to the site of inflammation is significantly reduced, as is vascular permeability at the inflammation site. Further, preferred compounds of the present invention exhibit no toxicity to vital organs such as heart, liver and lungs.

5

The peptides of this invention can be prepared by conventional small peptide chemistry techniques. The peptides when used for administration are prepared under aseptic conditions with a pharmaceutically acceptable carrier or diluent.

10

The pharmaceutical compositions may conveniently be presented in unit dosage form and prepared for each type of indication resulting from IgE-mediated responses that is to be treated. The compositions may be prepared by any of the methods well known in the art of pharmacy.

15 Methods typically include the step of bringing the active ingredients of the invention into association with a carrier that constitutes one or more accessory ingredients.

For example, doses of the pharmaceutical compositions will vary
20 depending upon the subject, type of indication to be treated, and upon the particular route of administration used. Dosages of active peptide when treating acute IgE-mediated responses can range from 0.1 to 100,000 $\mu\text{g}/\text{kg}$ a day, more preferably 1 to 10,000 $\mu\text{g}/\text{kg}$. Most preferred dosages range from about 1 to 100 $\mu\text{g}/\text{kg}$ of body weight, more preferably from about 1 to
25 20 $\mu\text{g}/\text{kg}$ and most preferably 10 to 20 $\mu\text{g}/\text{kg}$. Dosages of active peptide when treating chronic IgE-mediated responses can range from 0.1 to 100,000 $\mu\text{g}/\text{kg}$ a day, more preferably 1 to 10,000 $\mu\text{g}/\text{kg}$. Most preferred dosages range from about 1 to 1000 $\mu\text{g}/\text{kg}$ of body weight, more preferably from about 1 to 100 $\mu\text{g}/\text{kg}$ and most preferably 50-70 $\mu\text{g}/\text{kg}$. Doses are
30 typically administered from once a day to every 4-6 hours depending on the

severity of the condition. For acute conditions, it is preferred to administer the peptide every 4-6 hours. For maintenance, it may be preferred to administer only once or twice a day. Preferably, from about 0.18 to about 16 mg of peptide are administered per day, depending upon the route of administration and the severity of the condition. Desired time intervals for delivery of multiple doses of a particular composition can be determined by one of ordinary skill in the art employing no more than routine experimentation.

10 Routes of administration include oral, parenteral, rectal, intravaginal, topical, nasal, ophthalmic, direct injection, etc. In a preferred embodiment, the peptides of this invention are administered to the patient in an IgE downregulating effective amount. An exemplary pharmaceutical composition is an IgE modulating effective amount of a peptide in accord
15 with the present invention that provides an IgE downregulating effect, typically included in a pharmaceutically acceptable carrier.

The term "pharmaceutically acceptable carrier" as used herein, and described more fully below, includes one or more compatible solid or liquid
20 filler diluents or encapsulating substances that are suitable for administration to a human or other animal. In the present invention, the term "carrier" thus denotes an organic or inorganic ingredient, natural or synthetic, with which the molecules of the invention are combined to facilitate application. The term "IgE modulating-effective amount" is that
25 amount of the present pharmaceutical compositions, which produces an IgE downregulating effect on the particular condition being treated. Various concentrations may be used in preparing compositions incorporating the same ingredient to provide for variations in the age of the patient to be treated, the severity of the condition, the duration of the treatment and the
30 mode of administration.

The carrier must also be compatible. The term "compatible", as used herein, means that the components of the pharmaceutical compositions are capable of being commingled with a small peptides of the present invention, and with each other, in a manner such that does not substantially impair the desired pharmaceutical efficacy.

The small peptides of the invention are typically administered *per se* (neat). However, they may be administered in the form of a pharmaceutically acceptable salt. Such pharmaceutically acceptable salts include, but are not limited to, those prepared from the following acids: hydrochloric, hydrobromic, sulfuric, nitric, phosphoric, maleic, acetic, salicylic, p-toluene-sulfonic, tartaric, citric, methanesulphonic, formic, malonic, succinic, naphthalene-2-sulfonic, and benzenesulphonic. Also, pharmaceutically acceptable salts can be prepared as alkaline metal or alkaline earth salts, such as sodium, potassium or calcium salts of the carboxylic acid group. Thus, the present invention provides pharmaceutical compositions, for medical use, which comprise peptides of the invention together with one or more pharmaceutically acceptable carriers thereof and optionally any other therapeutic ingredients.

The compositions include those suitable for oral, rectal, intravaginal, topical, nasal, ophthalmic or parenteral administration, all of which may be used as routes of administration using the materials of the present invention. Pharmaceutical compositions containing peptides of the present invention may also contain one or more pharmaceutically acceptable carriers, which may include excipients such as stabilizers (to promote long term storage), emulsifiers, binding agents, thickening agents, salts, preservatives, solvents, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents, and the like. The use of such media and agents for pharmaceutical active substances is well known in the art. Except insofar as any conventional media or agent is

incompatible with the peptide of this invention, its use in pharmaceutical preparations is contemplated herein. Supplementary active ingredients can also be incorporated into the compositions of the present invention.

5 Compositions suitable for oral administration are typically prepared as an inhalation aerosol, nebulizer, syrup or tablet. Compositions suitable for topical administration typically are prepared as a cream, an ointment, or a solution. For treating an acute IgE-mediated response, the concentrations of the peptide active ingredient in such compositions is typically less than
10 1000 µg/ml, more preferable less than 500 µg/ml, and most preferably from about 200 to 400 µg/ml. For treating a chronic IgE mediated response, the concentrations of the peptide active ingredient in such compositions is typically less than 3 mg/ml, more preferable less than 2 mg/ml, and most preferably from about 1 to 1.5 mg/ml.

15 Compositions of the present invention suitable for inhalation administration may be presented, for example, as aerosols or inhalation solutions. An example of a typical aerosol composition for treating acute IgE-mediated responses consists of about 0.1 to 100 µg of microcrystalline
20 peptide suspended in a mixture of trichloro-monofluoromethane and dichlorodifluoromethane plus oleic acid, per dose. A more preferable amount of microcrystalline peptide in the composition is 1 to 50 µg, and most preferable is 10 to 20 µg per dose of the aerosol composition. An example of a typical aerosol composition for treating chronic IgE-mediated
25 responses consists of about 0.1 to 1000 µg of microcrystalline peptide suspended in a mixture of trichloro-monofluoromethane and dichlorodifluoromethane plus oleic acid, per dose. A more preferable amount of microcrystalline peptide in the composition is 1 to 100 µg, and most preferable is 50 to 70 µg per dose of the aerosol composition. An
30 example of a typical solution consists of the desired quantity of peptide

dissolved or suspended in sterile saline (optionally about 5 % v/v dimethylsulfoxide ("DMSO") for solubility), benzalkonium chloride, and sulfuric acid (to adjust pH).

- 5 Compositions of the present invention suitable for oral administration also may be presented as discrete units such as capsules, cachets, tablets or lozenges, each containing a predetermined amount of the peptide of the invention depending on the type of IgE mediated response to be treated, or which may be contained in liposomes or as a suspension in
- 10 an aqueous liquor or non-aqueous liquid such as a syrup, an elixir, or an emulsion. An example of a tablet formulation base includes corn starch, lactose and magnesium stearate as inactive ingredients. An example of a syrup formulation base includes citric acid, coloring dye, flavoring agent, hydroxypropylmethylcellulose, saccharin, sodium benzoate, sodium citrate
- 15 and purified water.

- Compositions suitable for parenteral administration conveniently comprise a sterile aqueous preparation of the molecule of the invention, which is preferably isotonic with the blood of the recipient. This aqueous
- 20 preparation may be formulated according to known methods using those suitable dispersing or wetting agents and suspending agents. The sterile injectable preparation may also be a sterile injectable solution or suspension in a non-toxic parenterally-acceptable diluent or solvent, for example as a solution in 1,3-butane diol. Among the acceptable vehicles
- 25 and solvents that may be employed are water, Ringer's solution and isotonic sodium chloride solution. In aqueous solutions, up to about 10 % v/v DMSO or Trappsol can be used to maintain solubility of some peptides. Also, sterile, fixed oils may be conventionally employed as a solvent or suspending medium. For this purpose, a number of fixed oils can be
- 30 employed including synthetic mono- or diglycerides. In addition, fatty acids (such as oleic acid or neutral fatty acids) can be used in the preparation of

Missing upon filing

11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60
61
62
63
64
65
66
67
68
69
70
71
72
73
74
75
76
77
78
79
80
81
82
83
84
85
86
87
88
89
90
91
92
93
94
95
96
97
98
99
100
101
102
103
104
105
106
107
108
109
110
111
112
113
114
115
116
117
118
119
120
121
122
123
124
125
126
127
128
129
130
131
132
133
134
135
136
137
138
139
140
141
142
143
144
145
146
147
148
149
150
151
152
153
154
155
156
157
158
159
160
161
162
163
164
165
166
167
168
169
170
171
172
173
174
175
176
177
178
179
180
181
182
183
184
185
186
187
188
189
190
191
192
193
194
195
196
197
198
199
200
201
202
203
204
205
206
207
208
209
210
211
212
213
214
215
216
217
218
219
220
221
222
223
224
225
226
227
228
229
230
231
232
233
234
235
236
237
238
239
240
241
242
243
244
245
246
247
248
249
250
251
252
253
254
255
256
257
258
259
260
261
262
263
264
265
266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296
297
298
299
300
301
302
303
304
305
306
307
308
309
310
311
312
313
314
315
316
317
318
319
320
321
322
323
324
325
326
327
328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361
362
363
364
365
366
367
368
369
370
371
372
373
374
375
376
377
378
379
380
381
382
383
384
385
386
387
388
389
390
391
392
393
394
395
396
397
398
399
400
401
402
403
404
405
406
407
408
409
410
411
412
413
414
415
416
417
418
419
420
421
422
423
424
425
426
427
428
429
430
431
432
433
434
435
436
437
438
439
440
441
442
443
444
445
446
447
448
449
450
451
452
453
454
455
456
457
458
459
460
461
462
463
464
465
466
467
468
469
470
471
472
473
474
475
476
477
478
479
480
481
482
483
484
485
486
487
488
489
490
491
492
493
494
495
496
497
498
499
500
501
502
503
504
505
506
507
508
509
510
511
512
513
514
515
516
517
518
519
520
521
522
523
524
525
526
527
528
529
530
531
532
533
534
535
536
537
538
539
540
541
542
543
544
545
546
547
548
549
550
551
552
553
554
555
556
557
558
559
560
561
562
563
564
565
566
567
568
569
570
571
572
573
574
575
576
577
578
579
580
581
582
583
584
585
586
587
588
589
590
591
592
593
594
595
596
597
598
599
600
601
602
603
604
605
606
607
608
609
610
611
612
613
614
615
616
617
618
619
620
621
622
623
624
625
626
627
628
629
630
631
632
633
634
635
636
637
638
639
640
641
642
643
644
645
646
647
648
649
650
651
652
653
654
655
656
657
658
659
660
661
662
663
664
665
666
667
668
669
670
671
672
673
674
675
676
677
678
679
680
681
682
683
684
685
686
687
688
689
690
691
692
693
694
695
696
697
698
699
700
701
702
703
704
705
706
707
708
709
710
711
712
713
714
715
716
717
718
719
720
721
722
723
724
725
726
727
728
729
730
731
732
733
734
735
736
737
738
739
740
741
742
743
744
745
746
747
748
749
750
751
752
753
754
755
756
757
758
759
760
761
762
763
764
765
766
767
768
769
770
771
772
773
774
775
776
777
778
779
780
781
782
783
784
785
786
787
788
789
790
791
792
793
794
795
796
797
798
799
800
801
802
803
804
805
806
807
808
809
810
811
812
813
814
815
816
817
818
819
820
821
822
823
824
825
826
827
828
829
830
831
832
833
834
835
836
837
838
839
840
841
842
843
844
845
846
847
848
849
850
851
852
853
854
855
856
857
858
859
860
861
862
863
864
865
866
867
868
869
870
871
872
873
874
875
876
877
878
879
880
881
882
883
884
885
886
887
888
889
890
891
892
893
894
895
896
897
898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913
914
915
916
917
918
919
920
921
922
923
924
925
926
927
928
929
930
931
932
933
934
935
936
937
938
939
940
941
942
943
944
945
946
947
948
949
950
951
952
953
954
955
956
957
958
959
960
961
962
963
964
965
966
967
968
969
970
971
972
973
974
975
976
977
978
979
980
981
982
983
984
985
986
987
988
989
990
991
992
993
994
995
996
997
998
999
1000
1001
1002
1003
1004
1005
1006
1007
1008
1009
1010
1011
1012
1013
1014
1015
1016
1017
1018
1019
1020
1021
1022
1023
1024
1025
1026
1027
1028
1029
1030
1031
1032
1033
1034
1035
1036
1037
1038
1039
1040
1041
1042
1043
1044
1045
1046
1047
1048
1049
1050
1051
1052
1053
1054
1055
1056
1057
1058
1059
1060
1061
1062
1063
1064
1065
1066
1067
1068
1069
1070
1071
1072
1073
1074
1075
1076
1077
1078
1079
1080
1081
1082
1083
1084
1085
1086
1087
1088
1089
1090
1091
1092
1093
1094
1095
1096
1097
1098
1099
1100
1101
1102
1103
1104
1105
1106
1107
1108
1109
1110
1111
1112
1113
1114
1115
1116
1117
1118
1119
1120
1121
1122
1123
1124
1125
1126
1127
1128
1129
1130
1131
1132
1133
1134
1135
1136
1137
1138
1139
1140
1141
1142
1143
1144
1145
1146
1147
1148
1149
1150
1151
1152
1153
1154
1155
1156
1157
1158
1159
1160
1161
1162
1163
1164
1165
1166
1167
1168
1169
1170
1171
1172
1173
1174
1175
1176
1177
1178
1179
1180
1181
1182
1183
1184
1185
1186
1187
1188
1189
1190
1191
1192
1193
1194
1195
1196
1197
1198
1199
1200
1201
1202
1203
1204
1205
1206
1207
1208
1209
1210
1211
1212
1213
1214
1215
1216
1217
1218
1219
1220
1221
1222
1223
1224
1225
1226
1227
1228
1229
1230
1231
1232
1233
1234
1235
1236
1237
1238
1239
1240
1241
1242
1243
1244
1245
1246
1247
1248
1249
1250
1251
1252
1253
1254
1255
1256
1257
1258
1259
1260
1261
1262
1263
1264
1265
1266
1267
1268
1269
1270
1271
1272
1273
1274
1275
1276
1277
1278
1279
1280
1281
1282
1283
1284
1285
1286
1287
1288
1289
1290
1291
1292
1293
1294
1295
1296
1297
1298
1299
1300
1301
1302
1303
1304
1305
1306
1307
1308
1309
1310
1311
1312
1313
1314
1315
1316
1317
1318
1319
1320
1321
1322
1323
1324
1325
1326
1327
1328
1329
1330
1331
1332
1333
1334
1335
1336
1337
1338
1339
1340
1341
1342
1343
1344
1345
1346
1347
1348
1349
1350
1351
1352
1353
1354
1355
1356
1357
1358
1359
1360
1361
1362
1363
1364
1365
1366
1367
1368
1369
1370
1371
1372
1373
1374
1375
1376
1377
1378
1379
1380
1381
1382
1383
1384
1385
1386
1387
1388
1389
1390
1391
1392
1393
1394
1395
1396
1397
1398
1399
1400
1401
1402
1403
1404
1405
1406
1407
1408
1409
1410
1411
1412
1413
1414
1415
1416
1417
1418
1419
1420
1421
1422
1423
1424
1425
1426
1427
1428
1429
1430
1431
1432
1433
1434
1435
1436
1437
1438
1439
1440
1441
1442
1443
1444
1445
1446
1447
1448
1449
1450
1451
1452
1453
1454
1455
1456
1457
1458
1459
1460
1461
1462
1463
1464
1465
1466
1467
1468
1469
1470
1471
1472
1473
1474
1475
1476
1477
1478
1479
1480
1481
1482
1483
1484
1485
1486
1487
1488
1489
1490
1491
1492
1493
1494
1495
1496
1497
1498
1499
1500
1501
1502
1503
1504
1505
1506
1507
1508
1509
1510
1511
1512
1513
1514
1515
1516
1517
1518
1519
1520
1521
1522
1523
1524
1525
1526
1527
1528
1529
1530
1531
1532
1533
1534
1535
1536
1537
1538
1539
1540
1541
1542
1543
1544
1545
1546
1547
1548
1549
1550
1551
1552
1553
1554
1555
1556
1557
1558
1559
1560
1561
1562
1563
1564
1565
1566
1567
1568
1569
1570
1571
1572
1573
1574
1575
1576
1577
1578
1579
1580
1581
1582
1583
1584
1585
1586
1587
1588
1589
1590
1591
1592
1593
1594
1595
1596
1597
1598
1599
1600
1601
1602
1603
1604
1605
1606
1607
1608
1609
1610
1611
1612
1613
1614
1615
1616
1617
1618
1619
1620
1621
1622
1623
1624
1625
1626
1627
1628
1629
1630
1631
1632
1633
1634
1635
1636
1637
1638
1639
1640
1641
1642
1643
1644
1645
1646
1647
1648
1649
1650
1651
1652
1653
1654
1655
1656
1657
1658
1659
1660
1661
1662
1663
1664
1665
1666
1667
1668
1669
1670
1671
1672
1673
1674
1675
1676
1677
1678
1679
1680
1681
1682
1683
1684
1685
1686
1687
1688
1689
1690
1691
1692
1693
1694
1695
1696
1697
1698
1699
1700
1701
1702
1703
1704
1705
1706
1707
1708
1709
1710
1711
1712
1713
1714
1715
1716
1717
1718
1719
1720
1721
1722
1723
1724
1725
1726
1727
1728
1729
1730
1731
1732
1733
1734
1735
1736
1737
1738
1739
1740
1741
1742
1743
1744
1745
1746
1747
1748
1749
1750
1751
1752
1753
1754
1755
1756
1757
1758
1759
1760
1761
1762
1763
1764
1765
1766
1767
1768
1769
1770
1771
1772
1773
1774
1775
1776
1777
1778
1779
1780
1781
1782
1783
1784
1785
1786
1787
1788
1789
1790
1791
1792
1793
1794
1795
1796
1797
1798
1799
1800
1801
1802
1803
1804
1805
1806
1807
1808
1809
1810
1811
1812
1813
1814
1815
1816
1817
1818
1819
1820
1821
1822
1823
1824
1825
1826
1827
1828
1829
1830
1831
1832
1833
1834
1835
1836
1837
1838
1839
1840
1841
1842
1843
1844
1845
1846
1847
1848
1849
1850
1851
1852
1853
1854
1855
1856
1857
1858
1859
1860
1861
1862
1863
1864
1865
1866
1867
1868
1869
1870
1871
1872
1873
1874
1875
1876
1877
1878
1879
1880
1881
1882
1883
1884
1885
1886
1887
1888
1889
1890
1891
1892
1893
1894
1895
1896
1897
1898
1899
1900
1901
1902
1903
1904
1905
1906
1907
1908
1909
1910
1911
1912
1913
1914
1915
1916
1917
1918
1919
1920
1921
1922
1923
1924
1925
1926
1927
1928
1929
1930
1931
1932
1933
1934
1935
1936
1937
1938
1939
1940
1941
1942
1943
1944
1945
1946
1947
1948
1949
1950
1951
1952
1953
1954
1955
1956
1957
1958
1959
1960
1961
1962
1963
1964
1965
1966
1967
1968
1969
1970
1971
1972
1973
1974
1975
1976
1977
1978
1979
1980
1981
1982
1983
1984
1985
1986
1987
1988
1989
1990
1991
1992
1993
1994
1995
1996
1997
1998
1999
2000
2001
2002
2003
2004
2005
2006
2007
2008
2009
2010
2011
2012
2013
2014
2015
2016
2017
2018
2019
2020
2021
2022
2023
2024
2025
2026
2027
2028
2029
2030
2031
2032
2033
2034
2035
2036
2037
2038
2039
2040
2041
2042
2043
2044
2045
2046
2047
2048
2049
2050
2051
2052
2053
2054
2055
2056
2057
2058
2059
2060
2061
2062
2063
2064
2065
2066
2067
2068
2069
2070
2071
2072
2073
2074
2075
2076
2077
2078
2079
2080
2081
2082
2083
2084
2085
2086
2087
2088
2089
2090
2091
2092
2093
2094
2095
2096
2097
2098
2099
2100
2101
2102
2103
2104
2105
2106
2107
2108
2109
2110
2111
2112
2113
2114
2115
2116
2117
2118
2119
2120
2121
2122
2123
2124
2125
2126
2127
2128
2129
2130
2131
2132
2133
2134
2135
2136
2137
2138
2139
2140
2141
2142
2143
2144
2145
2146
2147
2148
2149
2150
2151
2152
2153
2154
2155
2156
2157
2158
2159
2160
2161
2162
2163
2164
2165
2166
2167
2168
2169
2170
2171
2172
2173
2174
2175
2176
2177
2178
2179
2180
2181
2182
2183
2184
2185
2186
2187
2188
2189
2190
2191
2192
2193
2194
2195
2196
2197
2198
2199
2200
2201
2202
2203
2204
2205
2206
2207
2208
2209
2210
2211
2212
2213
2214
2215
2216
2217
2218
2219
2220
2221
2222
2223

A protocol for administration of ovalbumin (OVA) as a model allergen has been developed to induce acute allergen-specific pulmonary disease in normal Balb/C mice. The protocol involves intraperitoneal (i.p.) immunization of mice with 100 µg of ovalbumin (OVA) in alum adjuvant on days 1 and 14, and single intranasal (i.n.) doses of 50 to 100 µg of OVA in normal saline on days 14, 25, 26, and 27. Control mice receive alum alone by i.p. injections, and normal saline alone by i.n. administrations. On day 28, OVA-immunized mice display a disease strikingly similar to allergen-induced human asthma. This animal model has been used for the evaluation of drug efficacy in allergic acute pulmonary disease.

The Mouse Model for Late-Phase Chronic Allergen-Specific Pulmonary Disease

The protocol for administration of ovalbumin (OVA) as a model allergen to induce late-phase chronic allergen-specific pulmonary disease in normal Balb/C mice includes intraperitoneal (i.p.) immunization of mice with 100 µg of ovalbumin (OVA) in alum adjuvant on days 1 and 14, and single intranasal (i.n.) doses of 50 to 100 µg of OVA in normal saline on days 14, 25, 26, and 27 and then weekly thereafter for up to 6 months. Control mice receive alum alone by i.p. injections, and normal saline alone by i.n. administrations. On day 28, OVA-immunized mice display a disease strikingly similar to allergen-induced human asthma. This animal model is also useful for the evaluation of drug efficacy in chronic allergic pulmonary disease.

Materials and Methods

Special Reagents: Crystalline OVA was obtained from Pierce Chem. Co. (Rockford, IL) and aluminum potassium sulfate (alum) from Sigma Chemical, St. Louis, MO. The OVA (500ug/ml) was mixed with equal volumes of 10% (wt/vol.) alum in distilled water. The mixture was adjusted to pH 6.5 with 10 N NaOH and incubated for 60 min at room temperature.

The material was centrifuged at 750 g for 5 min; the pellet was resuspended to the original volume in distilled water and used within 1 hr.

Immunization Protocol: the immunization protocol consisted of

- 5 intraperitoneal administration of 100 μ g OVA in alum on day 1 followed by intraperitoneal administration of 100 μ g OVA in alum combined with intranasal administration of 100 μ g OVA in saline on day 14. On days 25, 26, and 27 the mice were challenged with intranasal OVA (100 μ g in saline). For acute asthmatic studies, the animals were euthanized on day 28 and
10 lungs removed. For chronic asthmatic studies, mice were immunized weekly thereafter for up to 6 months.

Analyses

- ELISA protocol for serum IgE:* Immulon 2 Microtiter plates (Dynex
15 Technologies) were coated with OVA solution in 50 mM carb-bicarbonate buffer, pH 9.6 at 4 C overnight and blocked with 0.1% casein for 2 hr at room temperature (RT). All test sera were diluted 1:100 in Tris-NaCl buffer, pH 8.0 containing 0.1 % casein prior to incubation with OVA coated plates. A positive serum sample known to contain IgE antibodies to OVA and
20 normal serum samples from unimmunized mice were included in each assay as controls. The serum samples were incubated on the plates at room temperature for 2 hours and washed 6X with PBS. Appropriately diluted secondary antibody (sheep, antimouse IgE Biotin (Binding Site cat # PB 284, lot # 026917) was added for 2 hr at RT and the plates were washed 6X
25 with PBS. OPD, Urea and peroxide solution were added for 30 min at RT. The reaction was stopped with 2.5 M sulfuric acid. OD was read at 490/630 nm. All samples were run in duplicate. Inter and intra sample variation of positive controls was less than 10% of the means.

- 30 *Lung Histology:* The lung and trachea were removed and fixed in 10% neutral buffered formalin. The tissues were embedded in paraffin and cut

into 7 μm sections. After deparaffinization and hydration, the sections were stained with eosinophil staining solution and counterstained with methylene blue. Alcian blue, toluidine blue, and periodic acid Schiff stains identified mucus within the airway. Tissues were examined by light microscopy.

Bronchoalveolar Lavage (BAL): The left lung was tied off at the mainstem bronchus. The right lung was ravaged with 0.4 ml of normal saline three times, and the fluid pooled. The total cell number was determined using a hemocytometer. The remaining cells were pelleted by centrifugation and the cells placed into a 10% BSA solution and resuspended. The cells were placed on a microscope slide and stained with an eosinophil staining solution (eosin with methylene blue counterstain).

Histomorphometric Analysis of Lung: The following parameters of allergic pulmonary disease were measured in the experiments reported here:

1. Airway plug scores were scored as previously reported (Henderson et al. *J. Exp. Med.* **184**:1483-1494, 1996). A scoring system from + to ++++ was used, reflecting the degree of severity of mucus secretion.
2. Total mucous cells were estimated by randomly counting the number of epithelial cells containing mucus per 100 epithelial cells in medium to large airways (600 μm to 1,000 μm diameters). Ten fields were counted in different lung lobes.
3. Cell density of infiltrating cells located either in the perivascular compartment or in the areas adjacent to airways (neutrophils, eosinophils, monocytes and lymphocytes) was approximated by using a scoring system ranging from 0 to ++++. A score of + indicates an inflammatory cell layer of 3 but less than 5 cells; ++ indicates an inflammatory density of 5 cells to 10 cells; +++ indicates an inflammatory density of 10 to 20 cells; and ++++ indicates an inflammatory density of 20 to 40 cells.

4. Numbers of various cell types were quantified by counting the numbers per high power field (1 0X by 40X).
5. Degree of edema was calculated by using a scoring system wherein the degree of accumulation of fluid surrounding blood vessels was estimated.

Statistical Analyses of Histomorphometric Data: SigmaStat version 2.0 was used to perform statistical analyses. Differences were analyzed for significance ($p < 0.05$) by ANOVA using the appropriate posthoc tests for independent means. SigmaPlot version 4.0 or GraphPad Prism was employed for the construction of graphical representations of the data.

EXAMPLE 1: Therapeutic Dose Response of Acute Asthmatic Mice to HK-X

- 15 Ideally, an experiment which demonstrates that therapeutic efficacy correlates with drug dosage will show three distinct regions of behavior:
- 1) At low doses, there will be no therapeutic effect;
 - 2) At higher dosage, therapeutic efficacy will be dose dependent;
 - 3) The third range of doses (highest) will not demonstrate therapeutic efficacy greater than that
- 20 observed at the highest middle range dose.

Dose response curves are an important source of information on dosages safe for human use. Occasionally, when doses of drugs that exceed the optimal therapeutic dosages are administered, toxic responses can be observed. This is particularly true if the drug is administered in situ, such as intranasally.

To establish therapeutic effectiveness of a range of doses of f-met-Leu-Phe-Phe (HK-X) during the acute effector phase of bronchial asthma at days 25, 26 and 27 induced by repeated immunization with OVA, doses of 30 0.1, 1.0, 10 and 50 μ g of intranasal HK-X were chosen. HK-X was

administered 30 min before OVA challenge. Control groups consisted of OVA-immunized and OVA-challenged mice as well as animals immunized with Alum in saline and challenged with saline alone. All animals were sacrificed one day after (day 28) the final OVA challenge. Serum IgE levels were determined and serum and lung tissues were collected for further analysis.

To first establish the optimal dose that will effectively downregulate serum IgE levels in an acute asthma model, 0.1, 1.0, 10 and 50 µg doses of HK-X (in 40 µL of saline) were infused into the lung 15-30 min prior to antigenic challenge on days 25, 26, and 27. A dose response curve of serum IgE levels is depicted in Figure 1.

The effects of varying doses of HK-X on the response of lung tissue to acute allergic challenge are depicted in Figures 2A to 4C. Fifty micrograms of HK-X administered intranasally to acute asthmatic mice provided some degree of protection against the effects of acute asthma (Figs. 2A-2C). There was limited perivascular and peri-bronchial accumulation of inflammatory cells (Figs. 2A and 2B). Figure 2C demonstrates that mucus accumulation was present but limited.

Ten micrograms of HK-X appeared to be the most efficacious dose (Figs. 3A-3C). Figures 3A and 3B show minimal inflammatory infiltrate surrounding vessels and airways. The degree of mucus secretion in airways is illustrated in Figure 3C. The mucus is confined to the surface of the airway epithelial cells.

As the dose of HK-X decreased 10 fold to 1 µg, therapeutic effect diminished. The amount of perivascular and airway inflammation increased (Figure 4A). There was a corresponding increase in mucus secretion by airway epithelial cells (Figure 4 B and 4C).

For the purposes of contrast and control, Figures 5A through C illustrate the benign response of immunized mice to administration of saline or the HK-X vehicle (0.05% DMSO). As shown in Figures 5A and 5B, there was little detectable inflammatory infiltrate in the perivascular and periairway zones of the lung. Correspondingly, there was no accumulation of mucus in the airway lumen or on the airway epithelial cell surfaces (Figure 5C).

Of the key histological measurements of the severity of acute asthma, mucus plug, the numbers of eosinophils and the fraction of airway cells secreting mucus showed a dose dependent improvement after treatment with 0.1 μ g to 10 μ g HK-X. 10 μ g of HK-X provided a 70% reduction in mucus plug score ($p < 0.05$). Interestingly, 50 μ g of HK-X provided significantly less reduction in mucus plug ($p < 0.05$). This same pattern of responsiveness was observed for the numbers of eosinophils and fraction of airway cells secreting mucus. The 10 μ g dose of HK-X showed a 57% decline in the number of interstitial eosinophils, which was significantly greater than the 0.1 μ g dose effect of ($p < 0.05$). The reduction in eosinophils by the 50 μ g dose was less than one-half that provided by 10 μ g HK-X ($p < 0.05$).

The fraction of airway cells secreting mucus was also inhibited in a dose dependent manner from 0.1 μ g to 10 μ g (37% reduction, $p < 0.05$). The 50 μ g dose provided a small amount of reduction (11%) which was not significantly less than the 0.1 μ g dose of HK-X. The effect of HK-X on the accumulation of fluid surrounding vessels showed a modest decline at 10 and 50 μ g doses. However, none of the doses was different from the 0.1 μ g dose of HK-X. The dose of HK-X showing the greatest reduction in the inflammatory cell score or accumulation of inflammatory cells was 10 μ g.

These data demonstrate that the following parameters showed a dose response effect: serum IgE levels and histopathological features (cellular infiltration, mucus plug formation, and total eosinophils in interstitium). Ten micrograms of HK-X administered intranasally was the most effective dosage compared to lower doses and a higher dose, 50 μ g. Compared to controls, animals treated with 10 μ g of HK-X demonstrated a 60% reduction in serum IgE levels, 50% reduction in cellular infiltration of the lung, 70% reduction in mucus plug formation and 67% reduction in eosinophil number.

EXAMPLE 2: Chronic Asthma With and Without HK-X Intervention

The animal model is also useful for the evaluation of drug efficacy in chronic allergic pulmonary disease. In this study, an immunization period of 6 months induced a persistent inflammation that was maintained by weekly intranasal challenges with OVA. The mice were treated with saline 8 times over a 20 day period to assess changes which occurred in the lungs. HK-X treatments of mice with chronic asthma were performed as indicated in Figure 6. 50 μ g of HK-X (in 50 μ L of saline containing less than 2.5% DMSO) was administered i.n. for a total of 8 dosages delivered over a period of 16 days. The animals were sacrificed 4 days after the last saline or HK-X dose. The experimental results were compared between HK-X treated and HK-X untreated mice.

IgE levels of antibody to OVA in the blood of mice challenged with or without OVA are shown in Table 1. It is important to note that all animals were OVA immunized for the first 6 months, however, the group denoted as "saline" were administered saline intranasally but not challenged with OVA during the terminal 20 day period. Therefore, these IgE levels were carried over from the immunization period and were used as background values from which all comparisons were corrected. For example, animals treated

with either saline or DMSO and OVA challenged had a 36% increase in IgE levels compared to a 14% increase in IgE levels in the animals treated with HK-X and OVA challenged. The amount of suppression of IgE levels by HK-X was calculated to be -60%.

5

TABLE 1: IgE Values in the Sera of Chronic Asthmatic Mice

TREATMENT	MEAN OD	√SE	P VALUE
DMSO/OVA	1.115	√0.017	@0.111
SALINE/OVA	1.113	√0.093	@0.111
HK-X/OVA	0.929	√0.033	0.049 @ 0.111
SALINE	0.814	√0.079	@0.111

Note: These values represent relative IgE levels as OD values from the ELISA test.

10

One of the important characteristics of chronic asthma in the murine model is the appearance of granulomatous structures in the lung. The effective IgE downregulating dose of 50 µg of HK-X significantly ($p < 0.05$) reduced the numbers and sizes of these structures in the lungs of treated animals compared to animals permitted to spontaneously reduce collagen deposition [Saline or DMSO] (Fig. 7).

Furthermore, during the immunization of mice with OVA and the subsequent treatment with HK-X at a dosage of 50 µg for a total of 8 times over a 20 day period, no adverse reactions or signs of sickness were observed. The mice were active during the experimental period. Examination of the lung tissues from groups of animals immunized with only OVA revealed severe pulmonary pathological changes consistent with characteristics of chronic asthma observed in humans. There was a significant infiltration of inflammatory cells in association with outer

25

boundaries of the airway basal lamina (interstitial regions) and blood vessels (Fig. 8C). When animals were treated with 8 doses of 50 μ g of HK-X per treatment over a 20-day period, the number of inflammatory cells were clearly reduced around airways and blood vessels (Fig. 8B). Saline inhalation by saline sham or control immunized mice resulted in patent airways and blood vessels with normal appearance (Fig. 8A). OVA immunized mice contained increased accumulations of collagen (blue color) around vessels and airways (Figure 9C). However, lungs treated with HK-X demonstrated a reduced level of collagen deposition (Figure 9B). In control mice (administered HK-X in saline) the pulmonary tissue was free of inflammatory cells and fibrotic collagen deposits (Figure 9A). In contrast, in OVA sensitized mice and treated only with either saline (Figures 10A-10C) or 0.5% solution DMSO (Figures 11A-11C), airways contained mucus and had mucus secreting cells when Alcian blue at pH 2.3 was used to visualize mucus. The amount of perivascular and airway inflammatory cell infiltration was similar. Greater than 60 percent of the airways of these 6 month-old chronic asthmatic mice were plugged with mucus (Figs. 10A-10B and 11A-11B, respectively).

The pulmonary tissue changes in chronic asthma were also assessed by morphometry methods to quantify the degree of persistent inflammation, deposition of fibrotic collagen and airway narrowing with structural changes. In Figures 12 through 14, the responses of animals immunized with OVA for 6 months and treated with various agents are shown in the left panels of the figures, whereas animals sham immunized and treated with saline are shown in the right panel. There were significant differences ($p < 0.05$) between the degree of mucus plug formation in HK-X treated animals versus animals given only saline for the 20 day period (Fig. 12). The animals immunized with the vehicle (0.5 % DMSO) for H K-X compared to HK-X also did not demonstrate any improvement in the mucus plug score. The results were the same for saline treatments. The patterns of

responses for inflammatory cell accumulation in and about the airways paralleled the mucus plug data for the various experimental treatments (Fig. 12). When given 8 doses of 50 μ g each of HK-X in 40 μ L vehicle intranasally over a 16-day period, there was a significant reduction in mucus accumulation and mucus cells occurrence in the airway (Fig. 13). Again the saline treatment alone demonstrated no therapeutic effects but HK-X did significantly reduce the number of mucus containing cells within the airways ($p < 0.05$). This observation further substantiates that there was no spontaneous repair after establishment of OVA-induced chronic asthma.

10 In the analyses of the numbers of infiltrating inflammatory cells in association with airway, the data showed that eosinophils and neutrophils per unit area was also reduced (Fig. 14). Intranasal saline infused animals maintained high levels of eosinophils as did DMSO treated animals ($p > 0.05$); however, HK-X treatment reduced the numbers of eosinophils

15 significantly compared to the two control groups ($p < 0.05$).

These studies show that there was very little or no spontaneous reduction in airway inflammation or of mucus cell secretion in this model of allergen induced chronic asthma unless HK-X was administered. In this

20 model, mice were sensitized to OVA and exposed to OVA via intranasal route weekly for 5 months and were treated with HK-X intranasally 8 times over a 20 day period. This allergen immunization and challenge regimen led to a chronic airway infiltration of eosinophils and other types of inflammatory cells, accumulation of mucus in the airways and hyperplasia

25 of mucus secreting cells. Administration of an effective IgE-downregulating dose of 50 μ g of HK-X reduced airway hypersecretion, hyperplasia of mucus cells, and recruitment of eosinophils and neutrophils. These results indicate that by administering an effective amount of HK-X and downregulating IgE levels, HK-X can also downregulate IgE-mediated responses such as airway

30 hypersecretion of mucus and the deposition of collagen that occur in this allergen-induced model of asthma.

EXAMPLE 3: Effects of HK-X and Dexamethasone in Acute Murine Asthma

Glucocorticoids are potent inhibitors of inflammatory mediators
5 produced by a variety of cell types, including T cells, mast cells, monocytes,
dendritic cells and eosinophils. Glucocorticoids are effective in the
treatment of human asthma when inhaled or used systemically. They
suppress inflammatory cell infiltration and have been demonstrated to
decrease mucus secretion and pulmonary edema. These responses relate to
10 direct effects of glucocorticoids on bronchial epithelial cells. Equally
important, steroids reduce bronchial hyperresponsiveness. Because of their
demonstrated efficacy, the glucocorticosteroids represent a mainline
therapeutic armamentarium in the treatment of asthma and could be used
without reservation but for well documented cumulative toxicity that limits
15 their value over time. Because of their value as the current standard of
efficacy for asthma, new compounds for asthma treatment should be
evaluated in comparison to glucocorticoids.

This experiment compared the effectiveness of HK-X to
20 dexamethasone, a widely used glucocorticoid, to modulate mucus release,
eosinophil numbers, edema and allergen specific IgE levels in this mouse
model. Comparable dosages of 10 μ g and 50 μ g of HK-X and
dexamethasone were used in this study. Intranasal administration was
used for both drugs at all doses. Fifty micrograms of HK-X was selected as
25 the high dose based on the previous results from the chronic asthma
model. An outline of the immunization and treatment protocol is shown in
Figure 15.

The experimental parameters showed that 10 μ g of intranasal HK-X
30 was more effective than either 10 μ g or 50 μ g of dexamethasone in reducing
serum IgE levels (Figure 16). Ten μ g of HK-X reduced serum IgE levels by

28%. HK-X was also more effective than dexamethasone at improving two histopathological features: cellular infiltrate and total number of eosinophils in interstitium. Both 10 μ g and 50 μ g doses of HK-X significantly reduced inflammatory infiltrate by 54% compared to the OVA control ($p < 0.05$) and were significantly more effective than 10 μ g (13%) and 50 μ g (13%) of dexamethasone ($p < 0.05$). Both the 10 μ g and 50 μ g doses of HK-X were more effective than 10 μ g of dexamethasone ($p < 0.05$). Ten μ g of HK-X decreased the eosinophil cell count by 57%, while the same dose of dexamethasone decreased the eosinophil cell count by 13%.

10 A high dose of 50 micrograms of HK-X administered intranasally was as effective as either dosage of dexamethasone in reducing the following histopathological features: number of eosinophils in Bronchoalveolar Lavage (BAL), mucus plug formation, percentage of airway mucus secreting
15 cells, number of interstitial eosinophils, and edema. These results establish the comparative efficacy of HK-X and a glucocorticoid, dexamethasone, in the murine asthma model.

20 EXAMPLE 4: Effects of HK-X and a Related Control Peptide in Acute Murine Asthma

This experiment compared the effectiveness of HK-X to a related member of the peptide family, f-Met-Met (referred to as the control peptide) in relation to the following measures: mucus release, cellular infiltration, eosinophil numbers, edema and allergen specific IgE levels in the acute
25 asthma mouse model. Comparable dosages of 50 μ g of HK-X and the control peptide were used in this study. Intranasal administration was used for both compounds. The immunization and treatment regime is outlined in Figure 17.

30

- While belonging to the same family of chemical compounds and being closely related in molecular size, the control peptide did not exhibit any of the therapeutic properties of HK-X. Most significantly, 50 µg of HK-X caused a 7% decrease in the serum IgE levels in the sera to the allergen, OVA ($p>0.05$). 50 µg of the control peptide did not affect the serum IgE levels ($p>0.05$). Furthermore, the control peptide delivered in vehicle and administered to control animals promoted clear-cut pro-inflammatory increases in the following parameters: mucus plug formation, number of airway cells secreting mucus, and the degree of interstitial inflammatory
- 10 pro-inflammatory changes in the histological parameters measured. Therefore, the unique composition of HK-X appears responsible for its efficacy in downregulating IgE levels and IgE-mediated responses.
- 15 **EXAMPLE 5: Pulmonary Tissue Response to Long Term Dosing of High Therapeutic Levels of HK-X**

- To determine whether there are potential toxic effects of long-term intranasal exposure to HK-X at the higher end of the therapeutic dose range, mice were exposed to weekly doses of 20 µg of intranasal HK-X for 3 months. During the last two weeks, the intranasal dose of HK-X was increased to 50 µg. Lung tissue was collected 24 hr after the last HK-X administration for histological analysis.
- 25 Weekly administration of 20 µg of HK-X intranasally for 3 months followed by 2 weeks of administration of 50 µg did not cause pathologic alterations of lung tissue. There was no difference ($p>0.05$) between saline and HK-X administration regarding mucus plug formation and inflammatory infiltrate. Secretion of mucus by airway cells was elevated
- 30 after administration of HK-X but this is not judged to be biologically significant. A similar phenomenon was observed regarding the number of

- interstitial eosinophils. While HK-X treated animals had approximately 2 eosinophils per 2,200 μ^2 , the saline treated animals demonstrated less than 1 per unit area ($p < 0.05$). Livers, spleens, and kidneys were examined for pathological changes. Except for occasional foci of inflammatory cells in the
- 5 livers of animals from control and treated groups, no pathologic changes were observed. These data establish the tolerability of supra-therapeutic doses of HK-X in mice.

EXAMPLE 6: Immunogenicity and Antigenicity of HK-X

10

The objective of this study is to determine whether HK-X, when administered to mice via several different routes, will produce an immune response as assessed by antibody production. Thus, immunogenicity and antigenicity were both evaluated in relation to HK-X in this study.

15

- HK-X is a small tetrapeptide. In most cases, such small molecules are poorly immunogenic; however, *in vivo*, small molecules may conjugate or absorb to (become haptens) larger proteins or to blood cells (carriers). Penicillin, quinidine and α -methyl dopa allergic responses are examples of
- 20 such haptenic responses. Antibodies to the haptens can produce anemia and immune complex diseases because of the destruction of red cells (carriers).

- A number of haptens such as dinitrophenol (DNP) or trinitrophenol (TNP) used experimentally are covalently linked to carrier molecules. The more antigenic the carrier molecule, the more likely that an immune response to the hapten will be elicited. Keyhole Limpet hemocyanin (KLH) is a widely used carrier and generally supports potent antibody responses to haptens like DNP or TNP.

30

The use of adjuvants greatly increases the likelihood that a potential immunogen will elicit an immune response. Complete Freund's Adjuvant (CFA) or bacterial peptidoglycans have been widely used to stimulate immune responses to poorly immunogenic haptens.

5

Therefore, after first determining availability of antibodies from normal drug exposure routes (with no anti- HK-X reactivity), the potential immunogenicity of HK-X was examined when it was coupled to KLH and administered in bacterial adjuvant. These extreme conditions determined whether HK-X could be immunogenic.

10

Materials and Methods

Immunogenic Conjugates of HK-X: HK-X was conjugated to KLH via a 12 to 20 carbon spacer added at the carboxy terminus. The linkage was completed through lysine residues on the KLH. United Biochemical, Seattle, WA, prepared the conjugates.

15

Preparation of Immunogen: HK-X-KLH conjugate suspended in PBS at 0.1 mg/ml was emulsified in complete Freund's adjuvant (CFA) containing 1.0 mg/ml bovine *Mycobacterium tuberculosis* at a 1:1 ratio.

20

Adjuvant Immunization Protocol: Balb/C female mice were immunized intradermally with 0.1 ml emulsion, boosted 4 weeks later and bled at 6 weeks.

25

Soluble Immunization Protocol: Balb/C female mice were injected intraperitoneally with 100 µg of the conjugate without adjuvant in a volume of 0.1 ml to 0.2 ml. The mice were bled after 21 days.

30

Normal Drug Exposure Routes: Sera were collected from animals administered HK-X via the intranasal route in therapeutic asthma studies.

Determination of antibodies: ELISA analyzed antibodies to conjugated and unconjugated HK-X. Immulon 2 Microtiter Plates (Dynex Technologies cat. # 3455) were coated overnight at 4°C with the following HK-X or HK-X conjugates at 10 µg/ml in PBS:

- HK-X- peptide alone
- HK-X - KLH - peptide conjugated to KLH
- 10 · HK-X LISA- peptide conjugated to BSA
- HK-X - Spacer- peptide with 12 carbon linear spacer.

Wells were washed the following day with PBS and then blocked for 30 minutes at room temperature with sample dilution buffer consisting of 0.1 M Tris - 0.15M NaCl buffer, pH 8.0, and 0.1% casein (ICN cat # 902896, lot 99333). Mouse sera samples were diluted either 1:100 or 1:200 with the same buffer, added to the wells and incubated 2 hours at room temp. Wells were then washed with PBS and incubated with goat anti-mouse IgG peroxidase conjugated secondary antibody (Cappel cat # 55554, lot # 39714) for 2 hours at room temp. After washing with PBS, wells were reacted with OPD chromagen (SIGMA cat # P-9187, lot 18H82111) for 30 minutes at room temp. The reaction was stopped with 50 µl of 2.5 M sulfuric acid. The ODs were then determined using a BIO-TEK EL800 reader at 490/630.

25 *Results*

Determination of HK-X from normal, drug exposure route: Sera from the following groups of mice were tested for anti-HK-X reactivity: OVA-induced asthma and HK-X treated, OVA-induced asthma and DMSO (vehicle) treated control, saline-immunized and DMSO (vehicle) treated. Mice were treated intranasally every other day with 50 µg of HK-X or vehicle for 16 days.

No IgG reactivity was observed to HK-X conjugated to either the 12-C spacer (HK-X+Spacer), KLH (KLH-HK-X) or BSA (BSA-HK-X). IgG reactivity to OVA was observed in all OVA-immunized mice and one saline-immunized control mouse and served as a control for the ELISA. IgG reactivity to KLH-HK-X and BSA-HK-X was observed in sera from animals immunized with KLH-HK-X in adjuvant and served as a control for the coating of these antigens onto the ELISA plate.

- 10 *Soluble-immunized HK-X coupled to a carrier.* Mice were immunized with soluble KLH-HK-X and bled after 21 days. The results of the ELISA show that 4/5 serum samples reacted to KLH and KLH-HK-X but no reactivity to BSA-HK-X or HK-X +spacer was observed indicating that antibodies were not generated against the HK-X that was coupled to KLH
- 15 after immunization with soluble carrier coupled to HK-X.

- 20 *Adjuvant-immunized HK-X coupled to a carrier.* To force the generation of antibodies to HK-X, mice were immunized with KLH or KLH-HK-X in complete Freund's adjuvant, boosted once and bled after 6 weeks. The results of the ELISA show that antibodies were generated against KLH. Antibodies were also generated to HK-X. This was supported by the following: 1) antibody reactivity to KLH-HK-X from KLH-HK-X sera was 2 fold higher than from KLH only immune sera and 2) sera from KLH-HK-X immunized HK-X immunized mice reacted to BSA-HK-X but not
- 25 to BSA alone. However, no antibody reactivity was observed to H K-X coupled to the 12C spacer.

- From the results of these studies, several conclusions about the immunogenicity and antigenicity of the HK-X peptide can be made. First,
- 30 mice did not generate antibodies to HK-X after therapeutic intranasal administration of the peptide for 16 days. Second, mice did not generate

antibodies when immunized with soluble peptide conjugated to the immunogenic carrier KLH. Third, mice can be forced under extreme conditions to generate antibodies to HK-X when coupled to KLH and immunized with complete adjuvant. However, even in this case, antibody reactivity is probably generated to neo-epitopes created by the conjugation of HK-X and KLH since no antibody reactivity could be detected to HK-X conjugated to the 12C spacer. This conclusion is supported by the observation that addition of free HK-X to the antiserum for at least 30 min prior to incubation with the test antigen, HK-X-KLH, did not reduce antibody reactivity to HK-X-KLH.

Thus, it appears unlikely that clinically relevant antibody or other immune responses to HK-X will be elicited in the clinical environment. There are five observations supporting such a notion. These observations are:

- 1) HK-X is only four amino acids in size (less than 600 Dalton), which makes it unlikely to become immunogenic;
- 2) All of the amino acids in HK-X are hydrophobic, whose property is not associated with immunogenicity;
- 3) To become immunogenic, HK-X would have to become covalently or electrostatically associated with a larger and immunogenic carrier in vivo;
- 4) Antibodies produced to HK-X are likely to be directed towards an epitope formed by the combination of the carrier and HK-X (neo-antigen);
- 5) Antibodies directed towards the neo-antigen react only weakly (low affinity) if at all to free HK-X.

EXAMPLE 7: Primate Toxicology Study of HK-X

This study was conducted at BIOSUPPORT, an animal research facility in Redmond, Washington, according to GLP standards. Six adult male and female macaque monkeys obtained from Charles River were

- studied. Group A, considered a control group, consisted of two animals given vehicle (buffered saline with 3% DMSO) IV daily for five days. Blood sampling for CBC and chemistries was performed on days 0-4 and 7. Group B consisted of three animals dosed with 20 µg/kg of HK-X in vehicle
- 5 (buffered saline with 3% DMSO) IV daily for five days. Blood sampling for CBC and chemistries was performed on days 0 - 4 and 7. Group C consisted of the three additional animals dosed with 150 µg/kg IV daily in an identical regimen. Group D consisted of all six animals from Groups B and C, dosed with 1000 µg/kg IV daily using the same regimen, five days
- 10 after Group C animals completed their regimen. All animals were observed daily throughout the study for recording of weight and general health and behavior. At the end of the Group D regimen, all animals were euthanized, underwent necropsy, and had representative tissue samples from the following organs collected for histological analysis: liver, kidney, spleen,
- 15 lung, heart, lymph node, and brain. Histopathological evaluation was performed by a board certified veterinary pathologist associated with BIOSUPPORT and independently by a histopathologist associated with Histatek.
- 20 These dosages of HK-X were selected based on the effective therapeutic dosages of HK-X of 10 and 50 µg/kg in the mouse asthma model.

- No significant abnormalities of white blood cell,
- 25 hematocrit/hemoglobin, or platelet counts were noted on any day or at any dose level. Similarly, no significant abnormalities of chemistry values were noted at any of the three dosing levels. No histological abnormality was noted in representative tissue samples of spleen, and lymph nodes obtained from animals who were exposed to either 20 or 150 µg/kg of HK-X followed
- 30 by 1000 µg/kg daily, or in the vehicle control group. Minimal multifocal

lymphocytic infiltrate was noted in liver, kidney, heart, and lung tissue samples from both treated and control animals and was therefore judged unrelated to treatment. Mild glomerular lesions, common in aging macaques, did not segregate according to treatment and were thus also considered unrelated to treatment. Other minor histological changes were not considered significant.

There was no discernible toxicity observed in blood counts or chemistries obtained from six macaque monkeys exposed to dosage levels of HK-X significantly higher than dosages considered therapeutic. Minor histopathological changes noted in liver, kidney, spleen, lymph nodes, heart, and lung did not segregate according to treatment and were considered manifestations of background pathology or artifactual change related to euthanasia.

This primate study suggests that therapeutic amounts of HK-X can be useful in human treatment without apparent toxicity or side effects.

The invention has been described in detail with reference to preferred embodiments thereof. However, it will be appreciated that, upon consideration of the present specification and drawings, those skilled in the art may make modifications and improvements within the spirit and scope of this invention as defined by the claims.